

**Application for Recognition of Exemption  
Under Section 501(c)(3) of the Internal Revenue Code**Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form1023](http://www.irs.gov/Form1023) for instructions and the latest information.**Note:** *If exempt status is approved, this application will be open for public inspection.*

Use the "?" buttons throughout this form for help in completing this application. For additional help, call IRS Exempt Organizations Customer Account Services toll-free at 1-877-829-5500.

If you cannot complete required responses within the textbox limits throughout this form, upload your additional narratives with the other required documents.

**Part I Identification of Applicant**

|   |  |  |                                       |   |                              |
|---|--|--|---------------------------------------|---|------------------------------|
| <b>1a</b> Full Name of Organization (exactly as it appears in your organizing document)<br>ONE EARTH PHILANTHROPY |  |  | <b>b</b> Care of Name (if applicable) |   |                              |
| <b>c</b> Mailing Address (Number, street and room/suite)<br>8611 WASHINGTON BLVD STE 8633                         |  | <b>d</b> City<br>CULVER CITY                   |                                       | <b>e</b> Country<br>UNITED STATES   |                              |
| <b>f</b> State<br>CALIFORNIA  |  | <b>g</b> Zip Code + 4<br>90232                 | <b>h</b> Foreign Province (or State)  |   | <b>i</b> Foreign Postal Code |
| <b>2</b> Employer Identification Number<br>85-2588841   |  | <b>3</b> Month Tax Year Ends<br>DECEMBER       |                                       | <b>4</b> Person to Contact if More Information is Needed (officer, director, trustee, or authorized representative)<br>JAY HALFON |                              |
| <b>5</b> Contact Telephone Number<br>212-378-2807   |  | <b>6</b> Fax Number (optional)<br>212-428-6769 |                                       | <b>7</b> User Fee Submitted<br>\$600.00   |                              |
| <b>8</b> Organization's Website (if available): <a href="http://www.oneearth.org">www.oneearth.org</a>            |  |  |                                       |   |                              |
| <b>9</b> List the names, titles, and mailing addresses of your officers, directors, and/or trustees.              |  |  |                                       |   |                              |
| First Name: RICK  |  | Last Name: RIDGEWAY                            |                                       | Title: CHAIR OF THE BOARD   |                              |
| Mailing Address: 8611 WASHINGTON BLVD STE 8633  |  | City: CULVER CITY                              |                                       |   |                              |
| State (or Province): CALIFORNIA   |  | Zip Code (or Foreign Postal Code): 90232       |                                       |   |                              |
| First Name: JUSTIN  |  | Last Name: WINTERS                             |                                       | Title: PRESIDENT AND CEO  |                              |
| Mailing Address: 8611 WASHINGTON BLVD STE 8633  |  | City: CULVER CITY                              |                                       |   |                              |
| State (or Province): CALIFORNIA   |  | Zip Code (or Foreign Postal Code): 90232       |                                       |   |                              |
| First Name: BRAD  |  | Last Name: LEIBOV                              |                                       | Title: TREASURER-BOARD MEMBER   |                              |
| Mailing Address: 8611 WASHINGTON BLVD STE 8633  |  | City: CULVER CITY                              |                                       |   |                              |
| State (or Province): CALIFORNIA   |  | Zip Code (or Foreign Postal Code): 90232       |                                       |   |                              |
| First Name: ROBERTA   |  | Last Name: BASKIN                              |                                       | Title: SECRETARY-BOARD MEMBER   |                              |
| Mailing Address: 8611 WASHINGTON BLVD STE 8633  |  | City: CULVER CITY                              |                                       |   |                              |
| State (or Province): CALIFORNIA   |  | Zip Code (or Foreign Postal Code): 90232       |                                       |   |                              |
| First Name: JAY   |  | Last Name: HALFON                              |                                       | Title: MEMBER OF THE BOARD  |                              |
| Mailing Address: 8611 WASHINGTON BLVD STE 8633  |  | City: CULVER CITY                              |                                       |   |                              |
| State (or Province): CALIFORNIA   |  | Zip Code (or Foreign Postal Code): 90232       |                                       |   |                              |

☒ Check here to add more officers, directors, and/or trustees.

Jena King, Member of the Board of Directors 8611 Washington Blvd., Suite 8633 Culver City CA 90232; Spike Buckley, Member of the Board of Directors 8611 Washington Blvd., Suite 8633 Culver City CA 90232; Michele Hunt, Member of the Board of Directors 8611 Washington Blvd., Suite 8633 Culver City CA 90232; Coretta Anderson, Member of the Board of Directors 8611 Washington Blvd., Suite 8633 Culver City CA 90232.

**Part II** Organizational Structure

- 1** You must be a corporation, limited liability company (LLC), unincorporated association, or trust to be tax exempt.

Select your type of organization.

☒ Corporation

At the end of this form, you must upload a copy of your articles of incorporation (and any amendments) that shows proof of filing with the appropriate state agency.

☐ Limited Liability Company (LLC)

At the end of this form, you must upload a copy of your articles of organization (and any amendments) that shows proof of filing with the appropriate state agency. Also, if you adopted an operating agreement, upload a copy, along with any amendments.

☐ Unincorporated Association

At the end of this form, you must upload a copy of your articles of association, constitution, or other similar organizing document that is dated and includes at least two signatures. Include signed and dated copies of any amendments.

☐ Trust

At the end of this form, you must upload a signed and dated copy of your trust agreement. Include signed and dated copies of any amendments.

- 2** Enter the date you formed. (MM/DD/YYYY)

08/14/2020

- 3** Select your state (or U.S. territory) of incorporation or other formation. If you were formed under the laws of a foreign country, select Foreign Country.

California

- 4** Have you adopted bylaws? If "Yes," at the end of this form, upload a current copy showing the date of adoption. If "No," explain how you select your officers, directors, or trustees.

☒ Yes

☐ No

- 5** Are you a successor to another organization?

☐ Yes

☒ No

Answer "Yes" if you have taken or will take over the activities of another organization, you took over 25% or more of the fair market value of the net assets of another organization, or you were established upon the conversion of an organization from for-profit to nonprofit status. If "Yes," complete Schedule G.

**Part III Required Provisions in Your Organizing Document**

Part III helps ensure that, when you submit this application, your organizing document contains the required provisions to meet the organizational test under section 501(c)(3).

If you cannot check "Yes" in both Lines 1 and 2, your organizing document does not meet the organizational test. DO NOT file this application until you have amended your organizing document. Remember to upload your original and amended organizing documents at the end of this form.

- 1** Section 501(c)(3) requires that your organizing document limit your purposes to one or more exempt purposes within section 501(c)(3), such as charitable, religious, educational, and/or scientific purposes.

*The following is an example of an acceptable purpose clause: The organization is organized exclusively for charitable, religious, educational, and scientific purposes under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code.*

Does your organizing document meet this requirement?

☒ Yes ☐ No

- 1a** State specifically where your organizing document meets this requirement, such as a reference to a particular article or section in your organizing document (Page/Article/Paragraph):

Page 1, Article Fourth, Paragraphs a and b.

- 2** Section 501(c)(3) requires that your organizing document provide that upon dissolution, your remaining assets be used exclusively for section 501(c)(3) exempt purposes, such as charitable, religious, educational, and/or scientific purposes. Depending on your entity type and the state in which you are formed, this requirement may be satisfied by operation of state law.

*The following is an example of an acceptable dissolution clause: Upon the dissolution of this organization, assets shall be distributed for one or more exempt purposes within the meaning of section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code, or shall be distributed to the federal government, or to a state or local government, for a public purpose.*

Does your organizing document meet this requirement?

☒ Yes ☐ No

- 2a** State specifically where your organizing document meets this requirement, such as a reference to a particular article or section in your organizing document (Page/Article/Paragraph) or indicate that you rely on state law.

Page 3, Article Fifth, Paragraph d.

**Part IV Your Activities**

1 Describe completely and in detail your past, present, and planned activities. Do not refer to or repeat the purposes in your organizing document. For each past, present, or planned activity, include information that answers the following questions:

- a. What is the activity?
- b. Who conducts the activity?
- c. Where is the activity conducted?
- d. What percentage of your total time is allocated to the activity?
- e. How is the activity funded (for example, donations, fees, etc.) and what percentage of your overall expenses is allocated to this activity?
- f. How does the activity further your exempt purposes?

One Earth Philanthropy ("One Earth") was organized and will be operated exclusively for charitable, educational, scientific, and literary purposes as defined in Section 501(c)(3) of the Internal Revenue Code. One Earth will be dedicated to accelerating collective action on climate change through cutting-edge science and technological innovations, powerful advocacy and communications, and philanthropic investment in community driven solutions to create a vibrant and just future where nature and people thrive. Specifically, One Earth will work to further the research and action that is necessary in the next decade to stay below 1.5 degrees Celsius in global temperature rise.

The mission of One Earth is to support the development of initiatives to halt and mitigate the impacts of climate change. Its overarching goals include transitioning to 100% renewable energy, shifting to carbon negative regenerative agriculture, and protecting, restoring and connecting 50% of land and sea.

One Earth's activities are intended to further the above exempt purposes as described in its Articles of Incorporation. They will be conducted by its board of directors, other volunteers, staff and consultants out of One Earth's business address in California and elsewhere, and will be commenced over the coming year as funding becomes available. The organization plans to conduct the following programs and activities and to devote the specified percentage of time as stated below:

#### 1. Supporting Scientific Research, Mapping Initiatives, and New Technology

Working with scientists and researchers on the global front lines, One Earth will foster and support the development of a variety of projects focused on furthering its charitable purposes. These projects will include scientific research and new technologies focused on climate change and sustainability, including initiatives to address and map global threats such as sea level rise and biodiversity loss, and to accelerate solutions, such as the transition to renewable energy, efforts to protect and restore nature and shifting to regenerative agriculture.

Some examples of specific initiatives that One Earth will support include projects focused on 3-D carbon mapping of the world's forests, spectranomic mapping of biodiversity (using an airborne laser-guided imaging technique), and dynamic modeling of ecosystem-based pathways for limiting global temperature rise to 1.5 degrees Celsius. One Earth will also support expansion of participatory mapping technologies that can be used by indigenous communities to protect their ancestral territories from environmental degradation.

One Earth will support new technological initiatives for protecting endangered species, including TrailGround AI, a high-tech sensor for advanced conservation monitoring. This cost-effective AI-powered system catches criminal poachers on camera and alerts park rangers immediately so that they can intervene to protect animals before they are harmed.

This program will be funded by foundation grants and individual contributions. One Earth will devote approximately 45 percent of its time to this programmatic area.

#### 2. Connecting Activists, Scientists, Policy Experts, and Philanthropists

There are millions of people in communities and organizations around the world working to drive the shifts to stay below 1.5 degrees Celsius. These leaders work with limited resources, sometimes against seemingly insurmountable odds. Environmental causes and groups receive less than 2% of global philanthropy. One Earth will work to mobilize philanthropic capital to provide urgently needed resources for leaders, organizations, and communities who are implementing climate solutions today. This fall we will launch an online global marketplace of non-profit projects in need of funding that directly contribute to achieving the 1.5 degrees Celsius goal.

Connecting its broad network, One Earth will also organize, support, and host convenings of activists, scientists, policy experts, and philanthropists. These convenings will encourage the development and publication of books, research papers and other media on environmental issues in an effort to inform government decision makers, NGOs, businesses and funders worldwide as they create plans and policies for deterring and reversing climate change.

This program will be funded by foundation grants and individual contributions. One Earth will devote approximately 30 percent of its time to this programmatic area.

#### 3. Educating the Public About Climate Change and Solutions

Continued in the Supplemental Responses

**Part IV****Your Activities** *(continued)*

- 2 Enter the 3-character NTEE Code that best describes your activities.

C99

Or check here if you want the IRS to select the NTEE Code that best describes your activities.

☐

- 3 Do any of your programs limit the provision of goods, services, or funds to a specific individual or group of specific individuals? For example, answer "Yes" if goods, services, or funds are provided only for a particular individual, your members, individuals who work for a particular employer, or graduates of a particular school. If "Yes," explain the limitation and how recipients are selected for each program.

☐ Yes☒ No

- 4 Do any individuals who receive goods, services, or funds through your programs have a family or business relationship with any officer, director, trustee, or with any of your highest compensated employees or highest compensated independent contractors? If "Yes," explain how these related individuals are eligible for goods, services, or funds.

☐ Yes☒ No

- 5 Do you or will you support or oppose candidates in political campaigns in any way? If "Yes," explain.

☐ Yes☒ No

- 6 Do you or will you attempt to influence legislation? If "Yes," explain how you attempt to influence legislation.

☐ Yes☒ No

**Part IV** Your Activities *(continued)*

- 6a** Did you or will you make an election to have your legislative activities measured by expenditures by filing Form 5768? If "No," describe whether your attempts to influence legislation are a substantial part of your activities. Include the time and money spent on your attempts to influence legislation as compared to your total activities. ☐ Yes ☐ No

- 7** Do you or will you publish, own, or have rights in music, literature, tapes, artworks, choreography, scientific discoveries, or other intellectual property? If "Yes," describe who owns or will own any copyrights, patents, or trademarks, whether fees are or will be charged, how the fees are determined, and how any items are or will be produced, distributed, and marketed. ☐ Yes ☒ No

- 8** Do you or will you provide educational information to the general public on budgeting, personal finance, financial literacy, saving and spending practices, the sound use of consumer credit, and/or assist individuals and families with financial problems such as credit card debt and foreclosure by providing them with counseling? If "Yes," explain. ☐ Yes ☒ No

- 9** Do you or will you make grants, loans, or other distributions to organizations? If "Yes," describe the type and purpose of the grants, loans, or distributions, how you select your recipients including submission requirements (such as grant proposals or application forms), and the criteria you use or will use to select recipients. Also describe how you ensure the grants, loans, and other distributions are or will be used for their intended purposes (including whether you require periodic or final reports on the use of funds and any procedures you have if you identify that funds are not being used for their intended purposes). Finally, describe the records you keep with respect to grants, loans, or other distributions you make and identify any recipient organizations and any relationships between you and the recipients. If "No," continue to Line 10. ☒ Yes ☐ No

One Earth will provide grants to organizations that are exempt under Section 501(c)(3) and engaged in charitable, educational, scientific, literary or other 501(c)(3) purposes that further the exempt purposes of One Earth. One Earth will require grant proposals which include detailed project narratives, project budgets and organization financial statements. Proposals are reviewed by staff and approved by the board. One Earth will require grant proposals to specify the responsibilities of the grantee, obligate the grantee to use the grant funds only for the purposes for which the grant was made, provide for periodic written reports concerning the use of grant funds, require a final written report and an accounting of how grant funds were used, and acknowledge One Earth's authority to withhold or recover grant funds in case such funds are, or appear to be, misused. Continued in the Supplemental Responses.

**Part IV** Your Activities (continued)

- 9a** Do you or will you make grants, loans, or other distributions to organizations that are not recognized by the IRS as tax exempt under section 501(c)(3)? If "Yes," name and/or describe the non-section 501(c)(3) organizations to whom you do or will make distributions and explain how these distributions further your exempt purposes. ☐ Yes ☒ No

- 9b** Do you or will you make grants, loans, or other distributions to foreign organizations? If "Yes," name each foreign organization (if not already provided), the country and region within each country in which each foreign organization operates, any relationship you have with each foreign organization, and whether the foreign organization accepts contributions earmarked for a specific country or organization (if so, specify which countries or organizations). If "No," continue to Line 10. ☒ Yes ☐ No

One Earth has no current plans to make grants to foreign organizations. It has not identified any grantees or regions. Should One Earth choose to engage in foreign grantmaking, any such foreign organization that may receive a grant will not have any relationship or affiliation with or control by One Earth or its members of the Board. One Earth will not accept grants or contributions that are earmarked for a specific grantee. All grant funds will be restricted solely for 501(c)(3) purposes.

- 9c** Do your contributors know that you have ultimate authority to use contributions made to you at your discretion for purposes consistent with your exempt purposes? If "Yes," describe how you relay this information to contributors. ☒ Yes ☐ No

If One Earth should choose to engage in foreign grantmaking contributors to One Earth will be informed that One Earth retains the ultimate authority to use contributions at One Earth's sole discretion for purposes consistent with its exempt purposes. A statement to that effect will be contained in donor acknowledgment letters and in letters used to solicit contributions.

- 9d** Do you or will you make pre-grant inquiries about the recipient organization? If "Yes," describe these inquiries, including whether you inquire about the recipient's financial status, its tax-exempt status under the Internal Revenue Code, its ability to accomplish the purpose for which the resources are provided, and other relevant information. ☒ Yes ☐ No

If One Earth should choose to engage in foreign grantmaking One Earth will perform a pre-grant inquiry including an investigation of the applicant's identity, the applicant's financial status, the applicant's prior history and any other information deemed relevant in determining the applicant's likelihood of complying with the terms of the grant requested and accomplishing the exempt purposes for which the grant is provided. The pre-grant inquiry will also investigate the appropriateness of the grant request in the light of One Earth's exempt purposes and will inquire about the applicant tax-exempt status under the Internal Revenue Code. Moreover, One Earth will require foreign organizations to complete an application addendum a copy of which is attached as a supplemental response.

- 9e** Do you or will you use any additional procedures to ensure that your distributions to foreign organizations are used in furtherance of your exempt purposes? If "Yes," describe these procedures, including periodic reporting requirements, auditing grantees, site visits by your employees or compliance checks by impartial experts, etc., to verify that grant funds are being used appropriately. ☒ Yes ☐ No

If One Earth should choose to engage in foreign grantmaking One Earth will ensure that any distributions to foreign organizations will be used in furtherance of One Earth's exempt purposes with regular conference calls with grantees, required submission of periodic and final narrative and financial reports and possible site visits.

**Part IV** Your Activities (continued)

- 9f** Do you share board members or other key personnel with the recipient organization(s)? If "Yes," identify the relationships. ☐ Yes ☒ No

- 9g** When you make grants, loans, or other distributions to foreign organizations, will you check the OFAC List of Specially Designated Nationals and Blocked Persons for names of individuals and entities with whom you are dealing to determine if they are included on the list? Describe any other practices you will engage in to ensure that foreign expenditures or grants are not diverted to support terrorism or other non-charitable activities. ☒ Yes ☐ No

If One Earth should choose to engage in foreign grantmaking One Earth will comply with, and put into practice, the federal guidelines, laws and limitations related to combating terrorist financing, which include, but are not limited to, various sanctions programs administered by the Office of Foreign Assets Control (OFAC) in regard to any foreign activities. One Earth will review OFAC's Specially Designated Nationals and Blocked Persons List (OFAC SDN List) and will not provide any funds, nor deal with, to any persons (individuals and entities) identified on the OFAC SDN List.

- 9h** Will you comply with all United States statutes, executive orders, and regulations that restrict or prohibit U.S. persons from engaging in transactions and dealings with designated countries, entities, or individuals, or otherwise engaging in activities in violation of economic sanctions administered by OFAC? ☒ Yes ☐ No

- 9i** Will you acquire from OFAC the appropriate license and registration where necessary? ☒ Yes ☐ No

- 10** Do you or will you operate in a foreign country or countries? If "Yes," name each foreign country and region within each country in which you do or will operate and describe your operations in each one. If "No," continue to Line 11. ☐ Yes ☒ No

- 10a** When you conduct activities in foreign countries, will you check the OFAC List of Specially Designated Nationals and Blocked Persons for names of individuals and entities with whom you are dealing to determine if they are included on the list? Describe any other practices you will engage in to ensure that foreign expenditures or grants are not diverted to support terrorism or other non-charitable activities. ☐ Yes ☐ No

- 10b** Will you comply with all United States statutes, executive orders, and regulations that restrict or prohibit U.S. persons from engaging in transactions and dealings with designated countries, entities, or individuals, or otherwise engaging in activities in violation of economic sanctions administered by OFAC? ☐ Yes ☐ No

- 10c** Will you acquire from OFAC the appropriate license and registration where necessary? ☐ Yes ☐ No



**Part IV** Your Activities *(continued)*

- 11** Are you a sponsoring organization that maintains one or more donor advised funds? If yes, please provide a complete description of your program, including the specific advice that such donors may provide. Describe in detail the control you maintain (or will maintain) over the use of the funds. ☐ Yes ☒ No

- 12** Do you or will you operate a school? ☐ Yes ☒ No  
If "Yes," complete Schedule B.

- 13** Is your principal purpose or function to provide hospital or medical care? ☐ Yes ☒ No  
If "Yes," complete Schedule C.

- 14** Do you or will you provide low-income housing? ☐ Yes ☒ No  
If "Yes," complete Schedule F.

- 15** Do you or will you provide scholarships, fellowships, educational loans, or other educational grants to individuals, including grants for travel, study, or other similar purposes? ☐ Yes ☒ No  
If "Yes," complete Schedule H - Section I.

- 16** Check any of the following fundraising activities that you will undertake (check all that apply):

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Website, mail, email, personal, and/or phone solicitations | <input checked="" type="checkbox"/> Foundation grant solicitations |
| <input checked="" type="checkbox"/> Receive donations from another organization's website      | <input type="checkbox"/> Government grant solicitations            |
| <input type="checkbox"/> Bingo   | <input type="checkbox"/> Other (non-bingo) gaming activities       |
| <input checked="" type="checkbox"/> Other (describe)   |  |

Public charity grant solicitations.

- ☐ We will not engage in fundraising activities.

- 17** Do you or will you engage in fundraising activities for other organizations? If "Yes," describe these arrangements, including the names or descriptions of the organizations for which you raise funds. ☐ Yes ☒ No

**Part V Compensation and Other Financial Arrangements**

- 1** Do you or will you compensate officers, directors, or trustees, or do or will you have highest compensated employees, or highest compensated independent contractors? If "No," continue to Line 2. ☒ Yes ☐ No

In establishing compensation for your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors:

- 1a** Do or will the individuals that approve compensation arrangements follow a conflict of interest policy? ☒ Yes ☐ No

- 1b** Do or will you approve compensation arrangements in advance of paying compensation? ☒ Yes ☐ No

- 1c** Do or will you document in writing the date and terms of approved compensation arrangements? ☒ Yes ☐ No

- 1d** Do or will you record in writing the decision made by each individual who decided or voted on compensation arrangements? ☒ Yes ☐ No

- 1e** Do or will you approve compensation arrangements based on information about compensation paid by similarly situated taxable or tax-exempt organizations for similar services, current compensation surveys compiled by independent firms, or actual written offers from similarly situated organizations? ☒ Yes ☐ No

- 1f** Do or will you record in writing both the information on which you relied to base your decision and its source? ☒ Yes ☐ No

- 1g** Do or will you have any other practices you use to set reasonable compensation? If "Yes," describe these practices. ☐ Yes ☒ No

- 2** Have you adopted a conflict of interest policy consistent with the sample conflict of interest policy in Appendix A to the instructions? If you are a hospital, answer "Yes" if your conflict of interest policy includes provisions consistent with the additional healthcare related provisions in the sample document. If "No," describe the procedures you will follow to ensure that persons who have a conflict of interest will not have influence over setting their own compensation or regarding business deals with themselves. ☒ Yes ☐ No

- 3** Do you or will you compensate any of your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors through non-fixed payments, such as discretionary bonuses or revenue-based payments? If "Yes," describe all non-fixed compensation arrangements, including how the amounts are determined, who is eligible for such arrangements, whether you place a limitation on total compensation, and how you determine or will determine that you pay no more than reasonable compensation for services. ☐ Yes ☒ No

**Part V Compensation and Other Financial Arrangements** (continued)

- 4 Do you or will you purchase or sell any goods, services, or assets from or to: (i) any of your officers, directors, or trustees; (ii) any family of any of your officers, directors, or trustees; (iii) any organizations in which any of your officers, directors, or trustees are also officers, directors, or trustees, or in which any individual officer, director, or trustee owns more than a 35% interest; (iv) your highest compensated employees; or (v) your highest compensated independent contractors? If "Yes," describe any such transactions that you made or intend to make, with whom you make or will make such transactions, how the terms are or will be negotiated at arm's length, and how you determine you pay no more than fair market value or you are paid at least fair market value.
- ☐ Yes ☒ No

- 5 Do you or will you have any leases, contracts, loans, or other agreements with: (i) your officers, directors, or trustees; (ii) any family of any of your officers, directors, or trustees; (iii) any organizations in which any of your officers, directors, or trustees are also officers, directors, or trustees, or in which any individual officer, director, or trustee owns more than a 35% interest; (iv) your highest compensated employees; or (v) your highest compensated independent contractors? If "Yes," describe any written or oral arrangements that you made or intend to make, with whom you have or will have such arrangements, how the terms are or will be negotiated at arm's length, and how you determine you pay no more than fair market value or you are paid at least fair market value.
- ☐ Yes ☒ No

- 6 Do you or will you contract with another organization to develop, build, market, or finance your facilities? If "Yes," describe each facility, the role of the other organization, and any business or family relationship between the organization and your officers, directors, or trustees. Explain how that entity is selected, how the terms of any contract(s) are negotiated at arm's length, and how you determine you will pay no more than fair market value for services.
- ☐ Yes ☒ No

**Part V Compensation and Other Financial Arrangements** *(continued)*

- 7 Does or will someone other than your own employees or volunteers manage your activities or facilities? ☐ Yes ☒ No
- If "Yes," describe the activities or facilities that will be managed by others, the names of the persons or organizations that manage or will manage your activities or facilities, and any business or family relationship between the organization and your officers, directors, or trustees. Explain how these managers were or will be selected, how the terms of any contracts or other agreements were or will be negotiated, and how you determine you will pay no more than fair market value for services.

- 8 Do you participate in any joint ventures, including partnerships or limited liability companies treated as partnerships, in which you share profits and losses with partners? If "Yes," state your ownership percentage in each joint venture, list your investment in each joint venture, describe the tax status of other participants in each joint venture (including whether they are section 501(c)(3) organizations), describe the activities of each joint venture, describe how you exercise control over the activities of each joint venture, and describe how each joint venture furthers your exempt purposes. ☐ Yes ☒ No

**Part VI Financial Data**

- 1 Select the option that best describes you to determine the years of revenues and expenses you need to provide.
- ☒ You completed less than one tax year.  
Provide a total of three years of financial information (including the current year and two future years of reasonable and good faith projections of your future finances) in the following Statement of Revenues and Expenses.
- ☐ You completed at least one tax year but fewer than five.  
Provide a total of four years financial information (including the current year and three years of actual financial information or reasonable and good faith projections of your future finances) in the following Statement of Revenues and Expenses.
- ☐ You completed five or more tax years.  
Provide financial information for your five most recent tax years (including the current year) in the following Statement of Revenues and Expenses.

**Part VI** Financial Data (continued)**A. Statement of Revenues and Expenses**

| Type of revenue   | Current tax year                   | 4 prior tax years or 2 succeeding tax years |                                    |                          |                          |
|---|------------------------------------|---|------------------------------------|--------------------------|--------------------------|
|   | From: 08/14/2020<br>To: 12/31/2020 | From: 01/01/2021<br>To: 12/31/2021          | From: 01/01/2022<br>To: 12/31/2022 | From: _____<br>To: _____ | From: _____<br>To: _____ |
| <b>1</b> Gifts, grants, and contributions received (do not include unusual grants)  | \$1,346,859                        | \$3,000,000                                 | \$5,000,000                        |                          |                          |
| <b>2</b> Membership fees received   |                                    |   |                                    |                          |                          |
| <b>3</b> Gross investment income  | \$3,000                            | \$10,000                                    | \$14,000                           |                          |                          |
| <b>4</b> Net unrelated business income  |                                    |   |                                    |                          |                          |
| <b>5</b> Taxes levied for your benefit  |                                    |   |                                    |                          |                          |
| <b>6</b> Value of services or facilities furnished by a governmental unit without charge (not including the value of services generally furnished to the public without charge)                       |                                    |   |                                    |                          |                          |
| <b>7</b> Any revenue not otherwise listed above or in lines 9 - 12 below (provide an itemized list below)   |                                    |   |                                    |                          |                          |
| <b>8</b> Total of lines 1 through 7   | \$1,349,859                        | \$3,010,000                                 | \$5,014,000                        | \$0                      | \$0                      |
| <b>9</b> Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to your exempt purposes (provide an itemized list below) |                                    |   |                                    |                          |                          |
| <b>10</b> Total of lines 8 and 9  | \$1,349,859                        | \$3,010,000                                 | \$5,014,000                        | \$0                      | \$0                      |
| <b>11</b> Net gain or loss on sale of capital assets (provide an itemized list below)   |                                    |   |                                    |                          |                          |
| <b>12</b> Unusual grants (provide an itemized list below)   |                                    |   |                                    |                          |                          |
| <b>13</b> Total Revenue (add lines 10 through 12)   | \$1,349,859                        | \$3,010,000                                 | \$5,014,000                        | \$0                      | \$0                      |
| Type of expense   | Current tax year                   | 4 prior tax years or 2 succeeding tax years |                                    |                          |                          |
| <b>14</b> Fundraising expenses  | \$10,000                           | \$35,000                                    | \$45,000                           |                          |                          |
| <b>15</b> Contributions, gifts, grants, and similar amounts paid out (provide an itemized list below)   |                                    | \$1,145,000                                 | \$1,600,000                        |                          |                          |
| <b>16</b> Disbursements to or for the benefit of members (provide an itemized list below)   |                                    |   |                                    |                          |                          |
| <b>17</b> Compensation of officers, directors, and trustees   | \$81,685                           | \$262,532                                   | \$273,732                          |                          |                          |
| <b>18</b> Other salaries and wages  | \$245,056                          | \$1,044,435                                 | \$1,567,068                        |                          |                          |
| <b>19</b> Interest expense  |                                    |   |                                    |                          |                          |
| <b>20</b> Occupancy (rent, utilities, etc.)   | \$4,500                            | \$18,000                                    | \$25,000                           |                          |                          |
| <b>21</b> Depreciation and depletion  |                                    |   |                                    |                          |                          |
| <b>22</b> Professional fees   | \$63,600                           | \$350,900                                   | \$450,000                          |                          |                          |
| <b>23</b> Any expense not otherwise classified, such as program services (provide an itemized list below)   | \$20,522                           | \$171,850                                   | \$217,500                          |                          |                          |
| <b>24</b> Total Expenses (add lines 14 through 23)  | \$425,363                          | \$3,027,717                                 | \$4,178,300                        | \$0                      | \$0                      |

**25** Itemized financial data

Accounting and Audit Fees FY 2020: 15,000 FY 2021: 55,000 FY 2022: 65,000 Office Expenses and Supplies FY 2020: 450 FY 2021: 1,850 FY 2022: 2,500 IT Expenses FY 2020: 5,072 FY 2021: 40,000 FY 2022: 50,000 Travel and Expenses FY 2020: 0 FY 2021: 75,000 FY 2022: 100,000

**Part VI** Financial Data (continued)

| B. Balance Sheet (for your most recently completed tax year) |   | Year End: 12/31/2020 |
|--|---|----------------------|
| <b>Assets</b>  |   |                      |
| 1  | Cash  | \$0                  |
| 2  | Accounts receivable, net  | \$0                  |
| 3  | Inventories   | \$0                  |
| 4  | Bonds and notes receivable (provide an itemized list below)             | \$0                  |
| 5  | Corporate stocks (provide an itemized list below)                       | \$0                  |
| 6  | Loans receivable (provide an itemized list below)                       | \$0                  |
| 7  | Other investments (provide an itemized list below)                      | \$0                  |
| 8  | Depreciable assets (provide an itemized list below)                     | \$0                  |
| 9  | Land  | \$0                  |
| 10   | Other assets (provide an itemized list below)                           | \$0                  |
| 11   | Total Assets (add lines 1 through 10)                                   | \$0                  |
| <b>Liabilities</b>   |   |                      |
| 12   | Accounts payable  | \$0                  |
| 13   | Contributions, gifts, grants, etc. payable                              | \$0                  |
| 14   | Mortgages and notes payable (provide an itemized list below)            | \$0                  |
| 15   | Other liabilities (provide an itemized list below)                      | \$0                  |
| 16   | Total Liabilities (add lines 12 through 15)                             | \$0                  |
| <b>Fund Balances or Net Assets</b>                           |   |                      |
| 17   | Total fund balances or net assets                                       | \$0                  |
| 18   | Total Liabilities and Fund Balances or Net Assets (add lines 16 and 17) | \$0                  |

## 19 Itemized financial data

|  |
|--|
|  |
|--|

**Part VII Foundation Classification**

Part VII is designed to classify you as an organization that is either a private foundation or a public charity. Public charity classification is a more favorable tax status than private foundation classification. If you are a private foundation, this part will further determine whether you are a private operating foundation.

**1** Select the foundation classification you are requesting from the list below.

- ☒ You are described in 509(a)(1) and 170(b)(1)(A)(vi) as an organization that receives a substantial part of its financial support in the form of contributions from publicly supported organizations, from a governmental unit, or from the general public.
- ☐ You are described in 509(a)(2) as an organization that normally receives not more than one-third of its financial support from gross investment income and receives more than one-third of its financial support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions).
- ☐ You are described in 509(a)(1) and 170(b)(1)(A)(i) as a church or a convention or association of churches. Complete Schedule A.
- ☐ You are described in 509(a)(1) and 170(b)(1)(A)(ii) as a school. Complete Schedule B.
- ☐ You are described in 509(a)(1) and 170(b)(1)(A)(iii) as a hospital, a cooperative hospital service organization, or a medical research organization operated in conjunction with a hospital. Complete Schedule C.
- ☐ You are described in 509(a)(1) and 170(b)(1)(A)(iv) as an organization operated for the benefit of a college or university that is owned or operated by a governmental unit.
- ☐ You are described in 509(a)(1) and 170(b)(1)(A)(ix) as an agricultural research organization directly engaged in the continuous active conduct of agricultural research in conjunction with a college or university.
- ☐ You are described in 509(a)(3) as an organization supporting either one or more organizations described in 509(a)(1) or 509(a)(2) or a publicly supported section 501(c)(4), (5), or (6) organization. Complete Schedule D.
- ☐ You are described in 509(a)(4) as an organization organized and operated exclusively for testing for public safety.
- ☐ You are a publicly supported organization and would like the IRS to decide your correct classification.
- ☐ You are a private foundation.

- 1a** As a private foundation, section 508(e) requires special provisions in your organizing document in addition to those that apply to all organizations described in section 501(c)(3). Check this box to confirm that your organizing document includes these provisions or you rely on state law. ☐

State specifically where your organizing document meets this requirement, such as a reference to a particular article or section in your organizing document (Page/Article/Paragraph) or state that you rely on state law.

- 1b** Do you or will you provide scholarships, fellowships, educational loans, or other educational grants to individuals, including grants for travel, study, or other similar purposes? ☐ Yes ☐ No  
If "Yes," complete Schedule H - Section II.

- 1c** Are you a private operating foundation? ☐ Yes ☐ No

To be a private operating foundation you must engage directly in the active conduct of charitable, religious, educational, and similar activities, as opposed to indirectly carrying out these activities by providing grants to individuals or other organizations.

**Part VII** Foundation Classification (continued)

- 1d** Describe how you meet the requirements for private operating foundation status, including how you meet the income test and either the assets test, the endowment test, or the support test. If you've been in existence for less than one year, describe how you are likely to satisfy the requirements for private operating foundation status.

- 2** If you have been in existence more than 5 years, you must confirm your public support status. To confirm your qualification as a public charity described in 509(a)(1) and 170(b)(1)(A)(vi) in existence for five or more tax years, you must have received one-third or more of your total support from governmental agencies, contributions from the general public, and contributions or grants from other public charities; or 10% or more of your total support from governmental agencies, contributions from the general public, and contributions or grants from other public charities and the facts and circumstances indicate you are a publicly supported organization. Calculate whether you meet this support test for your most recent five-year period.

- i. Did you receive contributions from any person, company, or organization whose gifts totaled more than the 2% amount of line 8 in Part VI-A? ☐ Yes ☐ No

If "Yes," identify each person, company, or organization by letter (A, B, C, etc.) and indicate the amount contributed by each. Keep a list showing the name of and amount contributed by each of these donors for your records.

- ii. Based on your calculations, did you receive at least one-third of your support from public sources or did you normally receive at least 10 percent of your support from public sources and you have other characteristics of a publicly supported organization? ☐ Yes ☐ No

- 2a** If you have been in existence more than 5 years, you must confirm your public support status. To confirm your qualification as a public charity described in 509(a)(2) in existence for five or more tax years, you must have normally received more than one-third of your support from contributions, membership fees, and gross receipts from activities related to your exempt functions, or a combination of these sources, and not more than one-third of your support from gross investment income and net unrelated business income. Calculate whether you meet this support test for your most recent five-year period.

- i. Did you receive amounts from any disqualified persons? ☐ Yes ☐ No

If "Yes," identify each disqualified person by letter (A, B, C, etc.) and indicate the amount contributed by each. Keep a list showing the name of and amount contributed by each of these donors for your records.

- ii. Did you receive amounts from individuals or organizations other than disqualified persons that exceeded the greater of \$5,000 or 1% of the amount on line 10 of Part VI-A Statement of Revenues and Expenses? ☐ Yes ☐ No

If "Yes," identify each individual or organization by letter (A, B, C, etc.) and indicate the amount contributed by each. Keep a list showing the name of and amount contributed by each of these donors for your records.

- iii. Based on your calculations, did you normally receive more than one-third of your support from a combination of gifts, grants, contributions, membership fees, and gross receipts (from permitted sources) from activities related to your exempt functions and normally receive not more than one-third of your support from investment income and unrelated business taxable income? ☐ Yes ☐ No



**Part VIII Effective Date**

In general, a determination letter recognizing exemption of an organization described in section 501(c)(3) is effective as of the date of formation of an organization if: (1) its purposes and activities prior to the date of the determination letter have been consistent with the requirements for exemption; and (2) it has filed an application for recognition of exemption within 27 months from the end of the month in which it was organized.

- 1 Are you submitting this application within 27 months of the end of the month in which you were legally formed?

☒ Yes ☐ No

If "No," complete Schedule E.

**Part IX Annual Filing Requirements**

*If you fail to file a required information return or notice for three consecutive years, your exempt status will be automatically revoked.*

- 1 Certain organizations are not required to file annual information returns or notices (Form 990, Form 990-EZ, or Form 990-N, e-Postcard). If you are granted tax-exemption, are you claiming to be excused from filing Form 990, Form 990-EZ, or Form 990-N?

☐ Yes ☒ No

If "Yes," are you claiming you are excepted from filing because you are:

- ☐ A church or association of churches
- ☐ An integrated auxiliary (such as a men's or women's organization, religious school, mission society, or religious group)
- ☐ A church-affiliated organization (other than a section 509(a)(3) organization) that is exclusively engaged in managing funds or maintaining retirement programs and is described in Revenue Procedure 96-10, 1996-1 C.B. 577
- ☐ A school below college level affiliated with a church or operated by a religious order
- ☐ A mission society (other than a section 509(a)(3) supporting organization) sponsored by, or affiliated with, one or more churches or church denominations, if more than half of the society's activities are conducted in, or directed at, persons in foreign countries
- ☐ An affiliate of a governmental unit that meets the requirements of Revenue Procedure 95-48, 1995-2 C.B. 418 (other than a section 509(a)(3) supporting organization)
- ☐ Other (describe)

**Part X Signature**

- ☒ I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization and that I have examined this application, and to the best of my knowledge it is true, correct, and complete.

Jay Halfon

(Type name of signer)

MEMBER OF THE BOARD

(Type title or authority of signer)

08/27/2020

(Date)

**Upload checklist:**

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- ☒ Organizing document (and any amendments)
- ☒ Bylaws, if adopted
- ☐ Form 2848, Power of Attorney and Declaration of Representative (if applicable)
- ☐ Form 8821, Tax Information Authorization (if applicable)
- ☒ Supplemental responses (if applicable)
- ☐ Expedited handling request (if applicable)

**Schedule A. Churches**

- 1** Do you have a written creed, statement of faith, or summary of beliefs? If "Yes," describe your written creed, statement of faith, or summary of beliefs. ☐ Yes ☐ No

- 2** Do you have a literature of your own? If "Yes," describe your literature. ☐ Yes ☐ No

- 3** Do you have a formal code of doctrine and discipline? If "Yes," describe your code of doctrine and discipline. ☐ Yes ☐ No

- 4** Describe your religious hierarchy or ecclesiastical government.

- 5** Are you part of a group of churches with similar beliefs and structures? If "Yes," explain. ☐ Yes ☐ No

- 6** Do you have a form of worship? If "Yes," describe your form of worship. ☐ Yes ☐ No

- 7** Do you have regularly scheduled religious services? If "Yes," describe the nature of the services. ☐ Yes ☐ No

- 7a** What is the average attendance at your regularly scheduled religious services?

- 8** Do you have an established place of worship? If "Yes," describe your established place of worship or where you meet to hold regularly scheduled religious services. ☐ Yes ☐ No

**Schedule A. Churches** (continued)

**9** Do you have an established congregation or other regular membership group? If "No," continue to Line 10. ☐ Yes ☐ No

**9a** How many members do you have?

**9b** Do you have a process by which an individual becomes a member? If "Yes," describe the process. ☐ Yes ☐ No

**9c** Do your members have voting rights, rights to participate in religious functions, or other rights? If "Yes," describe the rights your members have. ☐ Yes ☐ No

**9d** May your members be associated with another denomination or church? ☐ Yes ☐ No

**9e** Are all of your members part of the same family? ☐ Yes ☐ No

**10** Do you conduct baptisms, weddings, funerals, or other religious rites? ☐ Yes ☐ No

**11** Do you have a school for the religious instruction of the young? ☐ Yes ☐ No

**12** Do you have ministers or religious leaders? If "Yes," describe these roles and explain whether the ministers or religious leaders are ordained, commissioned, or licensed after a prescribed course of study. ☐ Yes ☐ No

**13** Do you have schools for the preparation of your ordained ministers or religious leaders? ☐ Yes ☐ No

**14** Do you ordain, commission, or license ministers or religious leaders? If "Yes," describe the requirements for ordination, commission, or licensure. ☐ Yes ☐ No

**15** Do you have other information you believe should be considered regarding your status as a church? If "Yes," explain. ☐ Yes ☐ No

**Schedule B. Schools, Colleges, and Universities**

**1** Do you normally have a regularly scheduled curriculum, a regular faculty of qualified teachers, a regularly enrolled student body, and facilities where your educational activities are regularly carried on? ☐ Yes ☐ No

**2** Is the primary function of your school the presentation of formal instruction? If "No," continue to Line 3. ☐ Yes ☐ No

**2a** Select the best description(s) of your school:

- ☐ Elementary school
- ☐ Secondary school
- ☐ Charter school
- ☐ College or university
- ☐ Technical school
- ☐ Other school (describe)

**3** Are you a public school because you are operated by a state or subdivision of a state or operated wholly or predominantly from government funds or property? If "Yes," explain how you are operated by a state or subdivision of a state. Do not complete the remainder of Schedule B. ☐ Yes ☐ No

**4** Were you formed or substantially expanded at the time of public school desegregation in the school district or county in which you are located? ☐ Yes ☐ No

**5** Has a state or federal administrative agency or judicial body ever determined that you are racially discriminatory? If "Yes," explain. ☐ Yes ☐ No

**6** Has your right to receive financial aid or assistance from a governmental agency ever been revoked or suspended? If "Yes," explain. ☐ Yes ☐ No

**Information Required by Revenue Procedure 75-50 as Modified by Revenue Procedure 2019-22**

**7** Have you adopted a racially nondiscriminatory policy as to students in your organizing document, bylaws, or by resolution of your governing body? ☐ Yes ☐ No

State where the policy is located or if adopted by resolution of your governing body.

**8** Do your brochures, application forms, advertisements, and catalogues dealing with student admissions, programs, and scholarships contain a statement of your racially nondiscriminatory policy? If "Yes," continue to Line 9. ☐ Yes ☐ No

**8a** ☐ By checking this box, you agree that all future printed materials, including website content, will contain the required nondiscriminatory policy statement.

**Schedule B. Schools, Colleges, and Universities** (continued)

- 9** Have you made your racially nondiscriminatory policy known to all segments of the general community you serve by: a) publishing a notice of your policy in a newspaper of general circulation that serves all racial segments of the community; b) publicizing your policy over broadcast media in a way that is reasonably expected to be effective; or c) displaying a notice of your policy at all times on your primary, publicly accessible internet home page in a manner reasonably expected to be noticed by visitors to the homepage? If "Yes," continue to Line 10. ☐ Yes ☐ No

- 9a** ☐ By checking this box, you agree that you will publicize your nondiscriminatory policy in a way that meets the requirements of Revenue Procedure 75-50, 1975-2 C.B. 587, as modified by Revenue Procedure 2019-22, I.R.B. 1260.

- 10** Do or will you (or any department or division of your organization) discriminate in any way on the basis of race with respect to admissions, use of facilities or exercise of student privileges, faculty or administrative staff, or scholarship or loan programs? If "Yes," for any of the above, explain fully. ☐ Yes ☐ No

- 11** Complete the table below to show the racial composition for the current academic year and projected for the next academic year. If you are not operational, submit an estimate based on the best information available (such as the racial composition of the community you serve).

For each racial category, enter the number of (a) students, (b) faculty, and (c) administrative staff. Provide actual numbers rather than percentages for each racial category.

| Racial Category | (a) Student Body |           | (b) Faculty  |           | (c) Administrative Staff |           |
|-----------------|------------------|-----------|--------------|-----------|--------------------------|-----------|
|                 | Current Year     | Next Year | Current Year | Next Year | Current Year             | Next Year |
|                 |                  |           |              |           |                          |           |
|                 |                  |           |              |           |                          |           |
|                 |                  |           |              |           |                          |           |
|                 |                  |           |              |           |                          |           |
|                 |                  |           |              |           |                          |           |
|                 |                  |           |              |           |                          |           |
| <b>Total</b>    |                  |           |              |           |                          |           |

- 12** In the table below, enter the number and amount of loans and scholarships awarded to enrolled students by racial categories. Provide actual numbers rather than percentages for each racial category.

☐ Check here if you will not provide any loans or scholarships to students.

| Racial Category | Number of Loans |           | Amount of Loans |           | Number of Scholarships |           | Amount of Scholarships |           |
|-----------------|-----------------|-----------|-----------------|-----------|------------------------|-----------|------------------------|-----------|
|                 | Current Year    | Next Year | Current Year    | Next Year | Current Year           | Next Year | Current Year           | Next Year |
|                 |                 |           |                 |           |                        |           |                        |           |
|                 |                 |           |                 |           |                        |           |                        |           |
|                 |                 |           |                 |           |                        |           |                        |           |
|                 |                 |           |                 |           |                        |           |                        |           |
|                 |                 |           |                 |           |                        |           |                        |           |
| <b>Total</b>    |                 |           |                 |           |                        |           |                        |           |

**Schedule B. Schools, Colleges, and Universities** *(continued)*

- 13** List your incorporators, founders, board members, and donors of land or buildings, whether individuals or organizations.

- 14** Do any of your incorporators, founders, board members, and donors of land or buildings, whether individuals or organizations, have an objective to maintain segregated public or private school education? If "Yes," explain.

☐ Yes☐ No

- 15** Will you maintain records according to the nondiscrimination provisions contained in Revenue Procedure 75-50? If "No," explain.

☐ Yes☐ No

**Schedule C. Hospitals and Medical Research Organizations**

- 1** Are you a medical research organization (an organization whose principal purpose or function is medical research and which is directly engaged in the continuous active conduct of medical research) operated in conjunction with a hospital? If "No," continue to Line 2. ☐ Yes ☐ No

- 1a** Name the hospitals with which you have a relationship and describe the relationship.

- 1b** List your assets showing their fair market value and the portion of your assets directly devoted to medical research.

Do not complete the remainder of Schedule C.

- 2** Are you applying for exemption as a cooperative hospital service organization described in section 501(e)? ☐ Yes ☐ No  
If "Yes," explain.

Do not complete the remainder of Schedule C.

- 3** Are all the doctors in the community eligible for staff privileges? If "No," give the reasons why and explain how the medical staff is selected. ☐ Yes ☐ No



**Schedule C. Hospitals and Medical Research Organizations** *(continued)*

- 4** Do or will you provide medical services to all individuals in your community who can pay for themselves or are able to pay through some form of insurance? If "No," explain. ☐ Yes ☐ No

- 5** Do you or will you maintain a full-time emergency room? If "Yes," continue to Line 6. ☐ Yes ☐ No

- 5a** Are you a specialty hospital or would emergency services be duplicative based on your region or locality? ☐ Yes ☐ No

- 6** Do you provide free or below cost services? If "Yes," describe your policy for determining when and to whom you provide these services and how these services promote the organization's benefit to the community. ☐ Yes ☐ No

- 7** Do you or will you carry on a formal program of medical training or medical research? If "Yes," describe such programs, including the type of programs offered, the scope of such programs, and affiliations with other hospitals or medical care providers with which you carry on the medical training or research programs. ☐ Yes ☐ No

- 8** Do you or will you carry on a formal program of community education? If "Yes," describe such programs, including the type of programs offered, the scope of such programs, and affiliation with other hospitals or medical care providers with which you offer community education programs. ☐ Yes ☐ No

**Schedule C. Hospitals and Medical Research Organizations** (continued)

- 9** Is your board of directors composed of a majority of individuals who are representative of the community you serve, or do you operate under a parent organization whose board of directors is composed of a majority of individuals who are representative of the community you serve? If "Yes," continue to Line 10. ☐ Yes ☐ No

- 9a** List each board member's name and business, financial, or professional relationship with the hospital. Also, identify each board member who is representative of the community and describe how that individual is a community representative. If you operate under a parent organization whose board of directors is not composed of a majority of individuals who are representative of the community you serve, provide the requested information for your parent's board of directors as well.

- 10** Do you operate a facility which is required by a state to be licensed, registered, or similarly recognized as a hospital? If "No," do not complete the rest of Schedule C. ☐ Yes ☐ No

- 10a** Do you conduct a community health needs assessment (CHNA) at least once every three years and adopt an implementation strategy to meet the community health needs identified in the assessment as required by section 501(r)(3)? If "No," explain. ☐ Yes ☐ No

- 10b** Do you have a written financial assistance policy (FAP) and a written policy relating to emergency medical care as required by section 501(r)(4)? If "No," explain. ☐ Yes ☐ No

**Schedule C. Hospitals and Medical Research Organizations** *(continued)*

**10c** Do you both (1) limit amounts charged for emergency or other medically necessary care provided to individuals eligible for assistance under your FAP to not more than amounts generally billed to individuals who have insurance covering such care, and (2) prohibit use of gross charges as required by section 501(r)(5)? If "No," explain.

☐ Yes☐ No

**10d** Do you make reasonable efforts to determine whether an individual is FAP-eligible before engaging in extraordinary collection actions as required by section 501(r)(6)? If "No," explain.

☐ Yes☐ No

**Schedule D. Section 509(a)(3) Supporting Organizations**

**1** List the names, addresses, and EINs of the organizations you support.

**2** Are all your supported organizations public charities under section 509(a)(1) or (2)? If "Yes," continue to Line 3.

☐ Yes☐ No

**2a** Are your supported organizations tax exempt under section 501(c)(4), 501(c)(5), or 501(c)(6) and do your supported organizations meet the public support test under section 509(a)(2)? If "No," explain how each organization you support is a public charity under section 509(a)(1) or 509(a)(2).

☐ Yes☐ No

**3** Which of the following describes your relationship with your supported organization(s)?

☐ A majority of your governing board or officers are elected or appointed by your supported organization(s). (Type I supporting organization)

☐ Your control or management is vested in the same persons who control or manage your supported organization(s). (Type II supporting organization)

☐ One or more of your officers, directors, or trustees are elected or appointed by the officers, directors, trustees, or membership of your supported organization(s), or one or more of your officers, directors, trustees, or other important office holders, are also members of the governing body of your supported organization(s), or your officers, directors, or trustees maintain a close and continuous working relationship with the officers, directors, or trustees of your supported organization(s). (Type III supporting organization)

**4** Describe how your governing board and officers are selected. If you are a Type III organization, also describe how your officers, directors, or trustees maintain a close and continuous working relationship with the officers, directors, or trustees of your supported organization(s).

**Schedule D. Section 509(a)(3) Supporting Organizations** (continued)

- 5** Do any persons who are disqualified persons (except individuals who are disqualified persons only because they are foundation managers) with respect to you or persons who have a family or business relationship with any disqualified persons appoint any of your foundation managers? If "Yes," (1) describe the process by which disqualified persons appoint any of your foundation managers, (2) provide the names of these disqualified persons and the foundation managers they appoint, and (3) explain how control is vested over your operations (including assets and activities) by persons other than disqualified persons. ☐ Yes ☐ No

- 6** Do any persons who are disqualified persons (except individuals who are disqualified persons only because they are foundation managers) have any influence regarding your operations, including your assets or activities? If "Yes," (1) provide the names of these disqualified persons, (2) explain how influence is exerted over your operations (including assets and activities), and (3) explain how control is vested over your operations (including assets and activities) by individuals other than disqualified persons. ☐ Yes ☐ No

- 7** Does your organizing document specify your supported organization(s) by name? ☐ Yes ☐ No  
If "Yes" and you selected Type I above, continue to Line 8.  
If "Yes," and you selected Type II, do not complete the rest of Schedule D.  
If "No" and you selected Type III above, amend your organizing document to specify your supported organization(s) by name or you will not meet the organizational test and need to reconsider your requested public charity classification; then continue to Line 8.

- 7a** Does your organizing document name a similar purpose or charitable class of beneficiaries as to your supported organization(s)? If "No," amend your organizing document to specify your supported organization(s) by name, purpose, or class or you will not meet the organizational test and need to reconsider your requested public charity classification. ☐ Yes ☐ No

If you selected Type II above, do not complete the rest of Schedule D.

- 8** Do you or will you receive contributions from any person who alone, or combined with family members or an entity at least 35% controlled by that person, controls any of your supported organizations, or will you receive contributions from any family member of, or an entity at least 35% controlled by, any person who controls any of your supported organizations? If "Yes," explain. ☐ Yes ☐ No

If you selected Type I above, do not complete the rest of Schedule D.

**Schedule D. Section 509(a)(3) Supporting Organizations** *(continued)*

- 9** Do the officers, directors, or trustees of your supported organization have a significant voice in your investment policies, the timing and making of grants, the selection of grant recipients, and in otherwise directing the use of your income or assets? If "Yes," explain. ☐ Yes ☐ No

- 10** In each taxable year, do you or will you provide each of your supported organizations with (a) a written notice addressed to a principal officer of the supported organization describing the type and amount of all of the support you provided to the supported organization during the immediately preceding taxable year, (b) a copy of your most recently filed Form 990-series return or notice, and (c) a copy of your governing documents? If 'No,' explain. ☐ Yes ☐ No

- 11** Do you exercise a substantial degree of direction over the policies, programs, and activities of your supported organization(s) and appoint or elect (directly or indirectly) a majority of the officers, directors, or trustees of your supported organization(s)? If "Yes," explain. ☐ Yes ☐ No

- 12** Do substantially all of your activities directly further the exempt purposes of one or more supported organizations to which you are responsive by performing the functions of, or carrying out the purposes of, such supported organization(s) and but for your involvement would normally be engaged in by such supported organization(s). If "Yes," explain and do not complete the rest of Schedule D. ☐ Yes ☐ No

**Schedule D. Section 509(a)(3) Supporting Organizations** *(continued)*

- 13** Do you distribute at least 85% of your annual net income or 3.5% of the aggregate fair market value of all of your non-exempt-use assets (whichever is greater) to your supported organization(s)? If "No," explain.

☐ Yes ☐ No

- 13a** How much do you contribute annually to each supported organization?

- 13b** What is the total annual revenue of each supported organization?

- 13c** Do you or the supported organization(s) earmark your funds for support of a particular program or activity? If "Yes," explain.

☐ Yes ☐ No

**Schedule E. Effective Date**

- 1** Are you applying for reinstatement of exemption after being automatically revoked for failure to file required returns or notices for three consecutive years? If "No," continue to Line 2. ☐ Yes ☐ No

- 1a** Revenue Procedure 2014-11, 2014-1 C.B. 411, provides procedures for reinstating your tax-exempt status. Select the section of Revenue Procedure 2014-11 under which you want us to consider your reinstatement request.

- ☐ Section 4. You are seeking retroactive reinstatement under section 4 of Revenue Procedure 2014-11. By selecting this line, you attest that you meet the specified requirements of section 4, that your failure to file was not intentional, and that you have put in place procedures to file required returns or notices in the future. Do not complete the rest of Schedule E.
- ☐ Section 5. You are seeking retroactive reinstatement under section 5 of Revenue Procedure 2014-11. By selecting this line, you attest that you meet the specified requirements of section 5, that you have filed required annual returns, that your failure to file was not intentional, and that you have put in place procedures to file required returns or notices in the future.
- Describe how you exercised ordinary business care and prudence in determining and attempting to comply with your filing requirements in at least one of the three years of revocation and the steps you have taken or will take to avoid or mitigate future failures to file timely returns or notices. Do not complete the rest of Schedule E.
- ☐ Section 6. You are seeking retroactive reinstatement under section 6 of Revenue Procedure 2014-11. By selecting this line, you attest that you meet the specified requirements of section 6, that you have filed required annual returns, that your failure to file was not intentional, and that you have put in place procedures to file required returns or notices in the future.
- Describe how you exercised ordinary business care and prudence in determining and attempting to comply with your filing requirements in each of the three years of revocation and the steps you have taken or will take to avoid or mitigate future failures to file timely returns or notices. Do not complete the rest of Schedule E.
- ☐ Section 7. You are seeking reinstatement under section 7 of Revenue Procedure 2014-11, effective the date you are filling this application. Do not complete the rest of Schedule E.

- 2** Generally, if you did not file Form 1023 within 27 months of formation, the effective date of your exempt status will be the date you filed Form 1023 (submission date). Requests for an earlier effective date may be granted when there is evidence to establish you acted reasonably and in good faith and the grant of relief will not prejudice the interests of the government.

- ☐ Check this box if you accept the submission date as the effective date of your exempt status. Do not complete the rest of Schedule E.
- ☐ Check this box if you are requesting an earlier effective date than the submission date.

- 2a** Explain why you did not file Form 1023 within 27 months of formation, how you acted reasonably and in good faith, and how granting an earlier effective date will not prejudice the interests of the Government.

You may want to include the events that led to the failure to timely file Form 1023 and to the discovery of the failure, any reliance on the advice of a qualified tax professional and a description of the engagement and responsibilities of the professional as well as the extent to which you relied on the professional, a comparison of (1) what your aggregate tax liability would be if you had filed this application within the 27-month period with (2) what your aggregate liability would be if you were exempt as of your formation date, or any other information you believe will support your request for relief.



**Schedule F. Low-Income Housing**

- 1** Describe each facility including the type of facility, whether you own or lease the facility, how many residents it can accommodate, the current number of residents, and whether the residents purchase or rent housing from you.

- 2** Describe who qualifies for your housing in terms of income levels or other criteria and explain how you select residents.

- 3** Do you meet the safe harbor requirements outlined in Revenue Procedure 96-32, 1996-1 C.B. 717, which provides guidelines for providing low-income housing that will be treated as charitable, including for each project that (a) at least 75 percent of the units are occupied by residents that qualify as low-income and (b) either at least 20 percent of the units are occupied by residents that also meet the very low-income limit for the area or 40 percent of the units are occupied by residents that also do not exceed 120 percent of the area's very low-income limit, and less than 25 percent of the units are provided at market rates to persons who have incomes in excess of the low-income limit?

☐ Yes☐ No

- 4** Is your housing affordable to low-income residents? If "Yes," describe how your housing is made affordable to low-income residents.

☐ Yes☐ No

- 5** Do you impose any restrictions to make sure that your housing remains affordable to low-income residents? If "Yes," describe these restrictions.

☐ Yes☐ No

**Schedule F. Low-Income Housing** *(continued)*

- 6** In addition to rent or mortgage payments, do residents pay periodic fees or maintenance charges? If "Yes," describe what these charges cover and how they are determined. ☐ Yes ☐ No

- 7** Do you provide social services to residents? If "Yes," describe these services. ☐ Yes ☐ No

- 8** Do you participate in any government housing programs? If "Yes," describe these programs. ☐ Yes ☐ No

**Schedule G. Successors to Other Organizations**

- 1** List the name, last address, and EIN of your predecessor organization and describe its activities.

- 2** List the owners, partners, principal stockholders, officers, and governing board members of your predecessor organization. Include their names, addresses, and share/interest in the predecessor organization (if for-profit).

- 3** Are you a successor to a for-profit organization? If "Yes," explain your relationship with the predecessor organization that resulted in your creation and explain why you took over the activities or assets of a for-profit organization or converted from for-profit to nonprofit status; continue to Line 4.

☐ Yes☐ No

- 3a** Explain your relationship with the other organization that resulted in your creation and why you took over the activities or assets of another organization.

**Schedule G. Successors to Other Organizations** (continued)

- 4** Do or will you maintain a working relationship with any of the persons listed in question 2 or with any for-profit organization in which these persons own more than a 35% interest? If "Yes," describe the relationship. ☐ Yes ☐ No

- 5** Were any assets transferred, whether by gift or sale, from the predecessor organization to you? If "Yes," provide a list of assets, indicate the value of each asset, explain how the value was determined, and attach an appraisal, if available. For each asset listed, also explain if the transfer was by gift, sale, or combination thereof and describe any restrictions that were placed on the use or sale of the assets. ☐ Yes ☐ No

- 6** Were any debts or liabilities transferred from the predecessor for-profit organization to you? If "Yes," provide a list of the debts or liabilities that were transferred to you, indicating the amount of each, how the amount was determined, and the name of the person to whom the debt or liability is owed. ☐ Yes ☐ No

- 7** Will you lease or rent any property or equipment to or from the predecessor organization or any persons listed in Line 2 or a for-profit organization in which these persons own more than a 35% interest? If "Yes," describe the arrangement(s) including how the lease or rental value was determined. ☐ Yes ☐ No

**Schedule H. Organizations Providing Scholarships, Fellowships, Educational Loans, or Other Educational Grants to Individuals and Private Foundations Requesting Advance Approval of Individual Grant Procedures****Section I** Public charities and private foundations complete lines 1 through 8 of this section.

- 1** Describe the types of educational grants you provide to individuals, such as scholarships, fellowships, loans, etc., including the purpose, number and amount(s) of grants, how the program is publicized, and if you award educational loans, the terms of the loans.

- 2** Do you maintain case histories showing recipients of your scholarships, fellowships, educational loans, or other educational grants, including names, addresses, purposes of awards, amount of each grant, manner of selection, and relationship (if any) to officers, trustees, or donors of funds to you? If "No," explain. ☐ Yes ☐ No

- 3** Describe the specific criteria you use to determine who is eligible for your program (for example, eligibility selection criteria could consist of graduating high school students from a particular high school who will attend college, writers of scholarly works about American history, etc.).

- 4** Describe the specific criteria you use to select recipients (for example, specific selection criteria could consist of prior academic performance, financial need, etc.).

**Schedule H. Organizations Providing Scholarships, Fellowships, Educational Loans, or Other Educational Grants to Individuals and Private Foundations Requesting Advance Approval of Individual Grant Procedures** *(continued)*

- 5** Describe any requirement or condition you impose on recipients to obtain, maintain, or qualify for renewal of a grant (for example, specific requirements or conditions could consist of attendance at a four-year college, maintaining a certain grade point average, teaching in public school after graduation from college, etc.).

- 6** Describe your procedures for supervising the scholarships, fellowships, educational loans, or other educational grants. Explain whether you obtain reports and grade transcripts from recipients, or you pay grants directly to a school under an arrangement whereby the school will apply the grant funds only for enrolled students who are in good standing. Also, describe your procedures for taking action if the terms of the award are violated.

- 7** How do you determine who is on the selection committee for the awards made under your program?

- 8** Are relatives of members of the selection committee, or of your officers, directors, or substantial contributors eligible for awards made under your program? If "Yes," what measures do you take to ensure unbiased selections?

☐ Yes ☐ No

Do not complete the rest of Schedule H. If you are a private foundation, you will be directed to complete Section II of Schedule H later in the application.

**Schedule H. Organizations Providing Scholarships, Fellowships, Educational Loans, or Other Educational Grants to Individuals and Private Foundations Requesting Advance Approval of Individual Grant Procedures** *(continued)*

**Section II**

**Private foundations complete lines 1 through 7 of this section. Public charities do not complete this section.**

- 1** As a private foundation, do you want this application to be considered as a request for advance approval of grant making procedures? ☐ Yes ☐ No

If "No," do not complete the rest of Schedule H.

- 1a** Check the box(es) indicating under which section(s) you want your grant making procedures to be considered.

- ☐ 4945(g)(1) - Scholarship or fellowship grant to an individual for study at an educational institution
- ☐ 4945(g)(3) - Other grants, including loans, to an individual for travel, study, or other similar purposes, to enhance a particular skill of the grantee or to produce a specific product

- 2** Do you represent that you will (1) arrange to receive and review grantee reports annually and upon completion of the purpose for which the grant was awarded, (2) investigate diversions of funds from their intended purposes, and (3) take all reasonable and appropriate steps to recover diverted funds, ensure other grant funds held by a grantee are used for their intended purposes, and withhold further payments to grantees until you obtain grantees' assurances that future diversions will not occur and that grantees will take extraordinary precautions to prevent future diversions from occurring? ☐ Yes ☐ No

- 3** Do you represent that you will maintain all records relating to individual grants, including information obtained to evaluate grantees, identify whether a grantee is a disqualified person, establish the amount and purpose of each grant, and establish that you undertook the supervision and investigation of grants described in Line 2? ☐ Yes ☐ No

- 4** Do you or will you award scholarships, fellowships, and educational loans to attend an educational institution based on the status of an individual being an employee of a particular employer? ☐ Yes ☐ No

If "No," do not complete the rest of Schedule H.

- 5** Will you comply with the seven conditions and either the percentage tests or facts and circumstances test for scholarships, fellowships, and educational loans to attend an educational institution as set forth in Revenue Procedures 76-47, 1976-2 C.B. 670, and 80-39, 1980-2 C.B. 772, which apply to inducement, selection committee, eligibility requirements, objective basis of selection, employment, course of study, and other objectives? ☐ Yes ☐ No

- 6** Do you or will you provide scholarships, fellowships, or educational loans to attend an educational institution to employees of a particular employer? If "No," continue to Line 7. ☐ Yes ☐ No

- 6a** Will you award grants to 10% or fewer of the eligible applicants who were actually considered by the selection committee in selecting recipients of grants in that year as provided by Revenue Procedures 76-47 and 80-39? ☐ Yes ☐ No

- 7** Do you provide scholarships, fellowships, or educational loans to attend an educational institution to children of employees of a particular employer? ☐ Yes ☐ No

If "No," do not complete the rest of Schedule H.

- 7a** Will you award grants to 25% or fewer of the eligible applicants who were actually considered by the selection committee in selecting recipients of grants in that year as provided by Revenue Procedures 76-47 and 80-39? ☐ Yes ☐ No

If "Yes," do not complete the rest of Schedule H.

**Schedule H. Organizations Providing Scholarships, Fellowships, Educational Loans, or Other Educational Grants to Individuals and Private Foundations Requesting Advance Approval of Individual Grant Procedures** *(continued)*

- 7b** Will you award grants to 10% or fewer of the number of employees' children who can be shown to be eligible for grants (whether or not they submitted an application) in that year, as provided by Revenue Procedures 76-47 and 80-39? If "Yes," describe how you will determine who can be shown to be eligible for grants without submitting an application, such as by obtaining written statements or other information about the expectations of employees' children to attend an educational institution; do not complete the rest of Schedule H.

☐ Yes ☐ No

- 7c** Will you award grants based on facts and circumstances that demonstrate that the grants will not be considered compensation for past, present, or future services or otherwise provide a significant benefit to the particular employer? If "Yes," describe the facts and circumstances you believe will demonstrate that the grants are neither compensatory nor a significant benefit to the particular employer. In your explanation, describe why you cannot satisfy either the 25% test or the 10% test in questions 7a and 7b.

☐ Yes ☐ No



ARTICLES OF INCORPORATION  
OF  
ONE EARTH PHILANTHROPY

**FILED**   
Secretary of State  
State of California

AUG 14 2020 *A*

FIRST: The name of the corporation is One Earth Philanthropy (hereinafter the "Corporation").

SECOND: The initial street address of the Corporation is 8611 Washington Blvd., Suite 8633, Culver City, CA 90232. The initial mailing address of the Corporation is 8611 Washington Blvd., Suite 8633, Culver City, CA 90232.

THIRD: The name and street address in the State of California of the Corporation's initial agent to accept service of process is Justin Winters, 8611 Washington Blvd., Suite 8633, Culver City, CA 90232.

FOURTH: The Corporation is organized as follows:

a. The Corporation is a nonprofit Public Benefit Corporation and is not organized for the private gain of any person. It is organized under the California Nonprofit Public Benefit Corporation Law for charitable purposes. The purposes for which this Corporation is organized are charitable, educational, scientific and literary as specified in Section 501(c)(3) of the United States Internal Revenue Code (hereinafter the "Code").

b. The Corporation is formed for the specific purposes of elevating cutting-edge science and technological innovations to address the world's greatest challenges related to climate change, biodiversity loss, and food security, and to further initiatives that advance breakthrough solutions for a sustainable planet. In furtherance of the foregoing charitable purposes, whether by directly conducting its own activities or by supporting the activities of other charitable organizations, the Corporation may engage in, among others, the following activities:

1) organize, support, and host convenings of scientists, policy experts and philanthropists worldwide to encourage the development of initiatives promoting 100% clean renewable energy; protection, restoration and connection of 50% of our land and seas; and a transition to regenerative agriculture;

2) foster and develop scientific research and new technologies focused on climate change and sustainability, including initiatives to address and map global threats such as sea level rise and biodiversity loss, and to accelerate solutions, such as the transition to renewable energy, efforts to protect and restore nature and shifting to regenerative agriculture;

3) educate the public about the need to keep the Earth below 1.5°C in global average temperature rise over pre-industrial levels to avoid a worsening of climate-related impacts, and the solutions to the climate crisis that are the key to creating a vibrant and just future for both nature and people; and

4) conduct any and all lawful activities that may be necessary or useful for the accomplishment of the foregoing purposes.

FIFTH: Notwithstanding any other provisions of these Articles:

a. the Corporation is organized exclusively for charitable, educational, scientific, and literary purposes as specified in Section 501(c)(3) of the Code, and shall not carry on any activities not permitted to be carried on by a corporation exempt from federal income tax under Section 501(c)(3) of the Code, or a corporation, contributions to which are deductible under Sections 170(a) and 170(c)(2) of the Code.

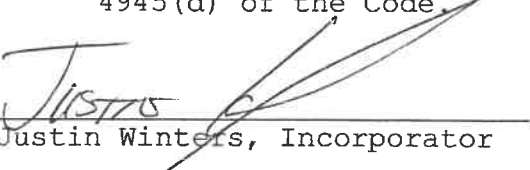
b. No substantial part of the activities of the Corporation shall be devoted to carrying on propaganda, or otherwise attempting to influence legislation, (except to the extent permitted by Section 501(h) of the Code) in a manner or to an extent which would disqualify the Corporation for tax exemption under Section 501(c)(3) of the Code. The Corporation shall not participate in, or intervene in (including the publishing or distributing of statements) any political campaign on behalf of (or in opposition to) any candidate for public office.

c. The property of the Corporation is irrevocably dedicated to charitable, educational, scientific and literary purposes and no part of the net income or assets of the Corporation shall ever inure to the benefit of any

director, officer, or employee of the Corporation or to the benefit of any private individual. Reasonable compensation, however, may be paid for services actually rendered to or for the Corporation in furtherance of one or more of its purposes. Reimbursement for expenses actually incurred in attending to the affairs of the Corporation shall be limited to reasonable amounts. No director, officer, employee, or private individual shall be entitled to share in the distribution of any of the corporate assets upon dissolution of the Corporation.

d. Upon the dissolution or winding up of the Corporation, its assets remaining after payment, or provision for payment, of all debts and liabilities of the Corporation shall be distributed, as determined by the Board of Directors, to a nonprofit fund, foundation or corporation which is organized and operated exclusively for charitable, educational, scientific or literary purposes and which has established its tax-exempt status as described under Section 501(c)(3) of the Code.

SIXTH: The Corporation shall seek sources of support and operate in such a manner as will enable it to qualify as an organization that is not a private foundation within the meaning of Section 509(a) of the Code. However, with respect to any taxable year or years during which the Corporation is a private foundation, as defined by Section 509 of the Code, it shall distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Code, and the Corporation shall not (i) engage in any act of self-dealing as defined in Section 4941(d) of the Code; (ii) retain any excess business holdings, as defined in Section 4943(c) of the Code; (iii) make any investments in such manner as to subject the Corporation to tax under Section 4944 of the Code; or (iv) make any taxable expenditures, as defined in Section 4945(d) of the Code.

  
Justin Winters, Incorporator

Date: August 13, 2020



I hereby certify that the foregoing transcript of 3 page(s) is a full, true and correct copy of the original record in the custody of the California Secretary of State's office.

AUG 14 2020 *AT*

Date: \_\_\_\_\_

*Alex Padilla*

ALEX PADILLA, Secretary of State

BYLAWS  
of  
ONE EARTH PHILANTHROPY  
A California Nonprofit Public Benefit Corporation

as adopted August 17, 2020

ARTICLE 1. BOARD OF DIRECTORS

Section 1.1. General Powers: Management of the affairs of the Corporation shall be vested and controlled by its Board of Directors in the accordance with the purposes and limitations set forth in the Articles of Incorporation and the Nonprofit Corporation Law. The Board shall possess and may exercise any and all powers granted to public benefit corporations under the California Nonprofit Corporation Law.

Section 1.2. Number: The number of Directors constituting the entire Board shall be not less than three nor more than twelve, with the exact authorized number of Directors to be fixed, within these limits, by action of a majority of the entire Board subject to the limitation that no decrease shall shorten the term of any incumbent Director.

Section 1.3. Term: The term of office of each Director shall be one year. Each Director, including a Director elected to fill a vacancy, shall hold office until the expiration of the term for which he or she was elected and until the election and qualification of a successor, or until that Director's earlier resignation or removal in accordance with these bylaws and California Nonprofit Corporation Law.

Section 1.4. Election: Directors shall be elected by a majority vote of Directors then in office, a quorum being present.

Section 1.5. Vacancies: Any vacancy occurring in the Board of Directors by reason of resignation, removal or otherwise, or by reason of an increase in the number of Directors constituting the Board of Directors, may be filled by a majority vote of the Directors then in office, a quorum being present.

Section 1.6. Resignation: A Director may resign at any time by giving written notice to the Chairperson. Resignation shall take effect at the time specified in such notice, or upon receipt of notice if no time is specified.

Section 1.7. Removal: Directors may be removed by a two-thirds vote of Directors then in office, a quorum being present.

Section 1.8. Regular Meetings: Regular meetings of the Board of Directors may be held at such time and place as fixed by the Board. Written notice of such meetings shall be given not less

than seven business days prior to such meeting and shall include the time, place and agenda of such meeting, unless otherwise waived in accordance with these bylaws.

Section 1.9. Annual Meetings: The annual meeting of the Board of Directors shall be held at such time and place as fixed by the Board, at which time elections for Directors and Officers will be held. Written notice of such meetings shall be given not less than seven business days prior to such meeting and shall include the time place and agenda of such meeting, unless otherwise waived in accordance with these bylaws.

Section 1.10. Special Meetings: Special meetings of the Board of Directors may be called by the President or by written notice signed by a majority of the Directors and mailed or given personally at least five business days prior to the time appointed for the meeting, unless otherwise waived in accordance with these bylaws. The notice of a special meeting shall state the place, date and hour of the meeting. The notice need not specify the purpose of the meeting unless required to elsewhere in these bylaws.

Section 1.11. Notice: Whenever notice is required to be given under any provision of these bylaws, it may be given by written notice delivered personally or sent by mail, delivery service, facsimile or electronic mail to each Director at the address as shown by the records of the Corporation. If mailed, such notice shall be deemed to be delivered three (3) days after being deposited in the United States mail. If notice is provided by delivery service, such notice shall be deemed delivered when received. Notice given by facsimile or electronic mail shall be deemed delivered when transmitted by facsimile or computer equipment to the intended recipient's electronic facsimile number or e-mail address as shown in the records of the Corporation.

Section 1.12. Waiver of Notice: Notice of meetings of the Board of Directors need not be given to any Director who provides a waiver of notice whether before or after the meeting. The attendance at a meeting by any Director who does not raise objection to lack of notice upon such Director's arrival at the meeting shall constitute a waiver of notice by such Director.

Section 1.13. Quorum: A quorum shall consist of a majority of the Board of Directors then in office. Unless otherwise provided by statute or these bylaws, no business shall be considered by the Board without a quorum being present, except that a meeting at which a quorum is initially present may continue to transact business, notwithstanding the withdrawal of any Directors, if any action taken is approved by at least a majority of the quorum required for that meeting.

Section 1.14. Majority Vote as Board Action: Except as otherwise provided by statute or these bylaws, the vote of a majority of Directors at the time of a vote, a quorum being present, shall be the act of the Board.

Section 1.15. Meetings By Telephone or Similar Communication Equipment: Any one or more members of the Board of Directors or any committee thereof may participate in a meeting of such Board or committee by means of a telephone or electronic video screen conference or similar communications equipment allowing all persons participating in the meeting to hear each

other at the same time. Participation by such means shall constitute presence in person at a meeting.

Section 1.16. Action Without Meeting: Any action required or permitted to be taken by the Board of Directors may be taken without a meeting if all such directors shall individually or collectively consent in writing to such action. Such action by written consent shall have the same force and effect as the unanimous vote of the Directors. Such written consent or consents shall be filed with the minutes of the proceedings of the Board.

Section 1.17. Committees of the Board: The Board may designate and appoint one or more committees, each of which shall include at least two Directors. Such committees shall have the duties assigned to them by the Board. The designation and appointment of any such committee and the delegation thereto of authority shall not operate to relieve the Board of Directors, or any individual Director, of any responsibility imposed by law.

Section 1.18. Compensation: The Corporation shall not pay any compensation to Directors for services rendered to the Corporation as Directors. Directors may however be reimbursed for expenses reasonably incurred in the performance of their duties as Directors to the Corporation. A person serving as a Director may be compensated for services provided to the Corporation in any other capacity.

## ARTICLE 2. OFFICERS, EMPLOYEES AND AGENTS

Section 2.1. Corporate Officers: The officers of the Corporation shall consist of a Chairperson, a President, a Vice-Chair, a Secretary and a Treasurer. Any two or more offices may be held by the same person except neither the Secretary nor the Treasurer may serve concurrently as Chairperson or President and Chief Executive Officer. At the discretion of the Board, the position of Vice-Chair may remain vacant until such time as the Board in its discretion holds an election for such position.

Section 2.2. Election and Term of Office: All officers shall be elected by the Board of Directors at the annual meeting to hold office for the term of one year, until the next annual meeting, and each shall hold office until the expiration of such term and until the election and qualification of that officer's successor, or until that officer's earlier resignation or removal.

Section 2.3. Chair: The Chair shall supervise the business and affairs of the Corporation, subject to the control of the Board of Directors. The Chair shall preside at all meetings of the Board of Directors, and subject to the supervision of the Board of Directors, shall perform all duties customary to that office in accordance with such policies and directives as may be established by the Board of Directors. The Chair shall have the power to sign and execute all contracts and instruments of conveyance in the name of the Corporation, to sign checks, drafts, notes and other orders for payment of money. The Chair may delegate authority and responsibility to the President but shall remain fully accountable to the Board.

Section 2.4. Vice-Chair: The Vice-Chair shall in the absence or disability of the Chair perform the duties and exercise the powers of the Chair. The Vice-Chair shall have such powers and perform such duties as may be delegated by the Chair or prescribed by the Board of Directors.

Section 2.5. President: A President may be selected by the Board of Directors of the Corporation. The President shall be the Chief Executive Officer of the Corporation. The President shall perform such duties as are provided by these bylaws and as delegated to the President by the Board of Directors. Without prejudice to the complete authority of the Board of Directors to manage the affairs and properties of the Corporation, the President shall hire, direct, and discharge all agents and employees and fix their salaries subject to authorization and approval by the Board of Directors. The President, subject to the approval of the Board, shall have the power to sign and execute contracts and instruments of conveyance in the name of the Corporation, to sign checks, drafts, notes and other orders for payment of money.

Section 2.6. Secretary: The Secretary shall keep the minutes of all meetings of the Board of Directors and its committees. The Secretary shall give, or cause to be given, notice of all meetings of the Directors and all other notices required by law or these bylaws. The Secretary shall countersign all contracts and other instruments as required by law or prescribed by the Board of Directors. The Secretary shall have charge of the corporate books and records. The Secretary shall have custody of the seal of the Corporation and affix the same to all instruments requiring it when authorized by the President and Chief Executive Officer or the Board of Directors, and attest to the same. The Secretary shall perform all of the duties incident to the office of Secretary.

Section 2.7. Treasurer: The Treasurer shall cause to be kept and maintained, adequate and correct accounts of business transactions of the Corporation, including accounts of its funds (both general and special), assets, liabilities, receipts, disbursements, reserve, gains, and losses. The Treasurer shall oversee the receipts for moneys due and payable to the Corporation from any source whatsoever, and deposit or arrange for the deposit of all such moneys in the name of the Corporation in such bank, trust companies, or other depositories. The Treasurer shall oversee the investments of the Corporation, in accordance with the investment policies of the Board of Directors. The Treasurer shall cause to be paid, all debts and other bills incurred on behalf of the Corporation. The Treasurer shall render such reports as may be requested from time to time by the President and Chief Executive Officer or the Board of Directors, and in general perform all of the duties incident to the office of Treasurer and such other duties as from time to time may be assigned to the Treasurer by the President or the Board of Directors.

Section 2.8. Other Employees and Agents: The Board of Directors may appoint from time to time such other agents and employees as it shall deem appropriate, each of whom shall hold office at the pleasure of the Board of Directors, and shall have such authority and perform such duties as the Board of Directors may determine.

Section 2.9. Removal: Any officer, employee or agent appointed by the Board may be removed by the Board with or without cause.



### ARTICLE 3. MEMBERSHIP

Section 3.1 Members: The Corporation shall have no members within the meaning of Section 5056 of the California Nonprofit Corporation Law.

Section 3.2 Non-Voting Members: The Board of Directors may adopt policies and procedures for the admission of supporting members or other designated members who shall have no voting rights in the Corporation. Such supporting or other members are not “members” of the Corporation as defined in Section 5056 of the California Nonprofit Corporation Law.

### ARTICLE 4. FINANCE, BOOKS and RECORDS

Section 4.1. Fiscal Year; Accrual Method: The fiscal year of the Corporation shall begin on January 1 and end on December 31 of each year. The Corporation shall utilize the accrual method of accounting for all items of income and expense. The Corporation shall prepare financial statements at the end of each fiscal year.

Section 4.2. Books and Records: The Corporation shall keep at the principal office in California correct and complete books and records of the Corporation, and minutes of the proceedings of the Board of Directors and any committee having any of the authority of the Board.

### ARTICLE 5. INDEMNIFICATION

Section 5.1. Indemnification and Insurance: The Corporation is authorized to indemnify any Director or Officer against any matter or cause relating to or arising out of the performance of such Director’s or Officer’s duties in the manner and to the full extent provided by law. The Corporation shall have the power to purchase and maintain insurance to indemnify the Corporation, the Directors and others to the full extent such indemnification is permitted by law.

### ARTICLE 6. OFFICES

Section 6.1. Offices of the Corporation: The Corporation may have offices within or without the State of California as the Board of Directors may from time to time appoint or the business of the Corporation may require.

### ARTICLE 7. AMENDMENT OF BYLAWS

Section 7.1. Amendments: The bylaws may be amended, repealed or adopted by a majority vote of the Board of Directors with a quorum being present.

**Supplemental Responses**

**Part IV, Question 1**

**Describe completely and in detail your past, present, and planned activities. Do not refer to or repeat the purposes in your organizing document.**

In addition to the activities described in form 1023 Part IV, Question 1, One Earth will conduct the following activity:

**3. Educating the Public About Climate Change and Solutions**

The greatest threat to solving climate change is a sense of hopelessness. We need everyone to know that the 1.5°C limit is achievable if we act together with focus and determination.

One Earth will work to raise awareness about the urgency of the 1.5°C limit and what needs to be done to get there focusing on three key audiences. We will showcase inspiring projects of community-led action to attract investment in frontline solutions around the world. We will produce executive briefings to inform stakeholders and decision makers advocating for key policy shifts. And we will use social media to build a broad public movement of engaged and optimistic changemakers, telling the story of the transformation that is already underway and the just and vibrant future that is possible.

This program will be funded by foundation grants and individual contributions. One Earth will devote approximately 25 percent of its time to this programmatic area.

**Part IV, Question 9d**

**Do you or will you make pre-grant inquiries about the recipient organization?**

**If “Yes,” describe these inquiries, including whether you inquire about the recipient's financial status, its tax-exempt status under the Internal Revenue Code, its ability to accomplish the purpose for which the resources are provided, and other relevant information.**

In addition to the pre-grant inquiries described in form 1023 Part IV, Question 9d, One Earth will maintain a document retention policy that requires retention of all records containing the information that grantees are required to provide to One Earth, all grant agreements between One Earth and the recipient organization, as well as grantee funding proposals, financial records, staff and board lists.

Should One Earth choose to engage in foreign grantmaking, it will require foreign organizations to complete the following application addendum:

**APPLICATION ADDENDUM**  
**Non-US NGO Additional Information**

Any organization completing this grant application that is not a United States-domiciled non-governmental organization and that is not a registered non-profit entity in the United States with a Section 501(c)(3) classification under the Internal Revenue Code must complete this Application Addendum.

*1. Funding Guidelines and Certification (Please review and sign below)*

The undersigned organization agrees that all proposed programs for funding from One Earth Philanthropy currently and in the future would be operated under the following guidelines:

a. **Prohibited Activities:** Funds provided are not permitted to be spent in any of the following ways:

- i. Engaging in activities that are not for charitable, educational, scientific or literary purposes; nor
- ii. Lobbying or attempting to lobby for or against legislation; nor
- iii. Participating or intervening, directly or indirectly, in any political campaign on behalf of, or in opposition to, any candidate for public office; nor
- iv. Promoting violence, terrorist activity or related training, whether directly through its own activities and programs, or indirectly through its support of, or cooperation with other persons and organizations known to support terrorism or that are involved in money laundering activities.

b. **No improper private benefit or inurement:** None of the funds provided may be distributed to, or applied for the benefit of, a private person or non-charitable organization other than (a) as part of the conduct of organization's charitable activities, or (b) as payment of reasonable compensation for services rendered.

c. **Distribution of assets on dissolution:** In the event that the organization or funded project is dissolved, all funds not spent on the approved program will be returned to One Earth Philanthropy.

d. **Equivalency:** Organization hereby declares that it operates as the equivalent of an organization that has been determined to be exempt from taxation under Section 501(c)(3) of the Internal Revenue Code.

e. **Control by another organization:** If the undersigned organization is operated with one or more other organizations, whether nonprofit, business or governmental, then the following is a complete list of those other organizations:

\_\_\_\_\_

I declare that I am authorized to sign this Application Addendum to the application for program funding on behalf of the below named organization; that I have examined this Application Addendum (including the attachments, if any); that to the best of my knowledge, after having made due inquiry, all information and statements contained in this Application Addendum (including attachments, if any) are true, correct, and complete; and that the undersigned organization agrees to the matters set forth in this Application Addendum.

Signature

Date

Organization

Full Name

Title